

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/628,579 07/29/2003 Mark C. Carroll 22129-00007-US1

30678 CONNOLLY BOVE LODGE & HUTZ LLP SUITE 800 1990 M STREET NW WASHINGTON, DC 20036-3425 CONFIRMATION NO. 4098
FORMALITIES LETTER
\*OC000000011097779\*

Date Mailed: 10/24/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o)). See Figure(s) 3-9&11-14.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 22a,22b&22c.

### **Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further further processing delays.

 Additional claim fees of \$1014 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1914 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1014
  - \$342 for 19 total claims over 20.
  - \$672 for 8 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

# See <u>www.uspto.gov</u> for complete FY 2003 and FY-2004 Fee Schedules

\* Indicates fees remain at FY 2003

Basic filing fee - Utility (Small Entity)	DECORPTION		amount
Basic filing fee - Utility (Small Entity)			FY 2004
Independent claims in excess of three	Basic filing fee - Utility		\$770
Independent claims in excess of three (Small Entity)	Basic filing fee - Utility (Small Entity)	\$375	\$385
Claims in excess of twenty (Small Entity)	Independent claims in excess of three	\$84	\$86
Claims in excess of twenty (Small Entity)	Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty (Small Entity)   \$9   \$9*   \$9*   \$9*   \$9*   \$1*   \$1*   \$280   \$290		\$18	\$18*
Multiple dependent claim (Small Entity)         \$140         \$145           Surcharge - Late filing fee         \$130         \$130           Surcharge - Late filing fee (Small Entity)         \$65         \$65           Design filing fee         \$330         \$340           Design filing fee (Small Entity)         \$165         \$170           Plant filing fee         \$520         \$530           Plant filing fee (Small Entity)         \$260         \$265           Reissue filing fee (Small Entity)         \$260         \$265           Reissue filing fee (Small Entity)         \$375         \$385           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$118*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$205		\$9	\$9*
Multiple dependent claim (Small Entity)         \$140         \$145           Surcharge - Late filing fee         \$130         \$130           Surcharge - Late filing fee (Small Entity)         \$65         \$65           Design filing fee         \$330         \$340           Design filing fee (Small Entity)         \$165         \$170           Plant filing fee         \$520         \$530           Plant filing fee (Small Entity)         \$260         \$265           Reissue filing fee (Small Entity)         \$375         \$385           Reissue filing fee (Small Entity)         \$375         \$385           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110           Extension - First month (Small Entity)         \$205         <	Multiple dependent claim	\$280	\$290
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Surcharge - Late filing fee (Small Entity)   \$65   \$65		\$130	
Design filing fee   \$330	Surcharge - Late filing fee (Small Entity)	\$65	
Design filing fee (Small Entity)         \$165         \$170           Plant filing fee         \$520         \$530           Plant filing fee (Small Entity)         \$260         \$265           Reissue filing fee         \$750         \$770           Reissue filing fee (Small Entity)         \$375         \$385           Reissue independent claims         \$84         \$86           Reissue independent claims (Small Entity)         \$42         \$43           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty (Small Entity)         \$9         \$9*           Provisional application filing fee         \$160         \$160*           Provisional application filing fee (Small Entity)         \$80         \$80*           Surcharge - Late provisional filing fee (Small Entity)         \$25         \$25*           Non-English Specification         \$130         \$130*           Extension - First month         \$110         \$110*           Extension - First month (Small Entity)         \$25         \$55*           Extension - Second month         \$410         \$420           Extension - Third month         \$930         \$950           Extension - Fourth month (Small Entity)         \$465         \$475      <	Design filing fee	\$330	
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Extension - Second month       \$410       \$420         Extension - Second month (Small Entity)       \$205       \$210         Extension - Third month       \$930       \$950         Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Extension - First month (Small Entity)		
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Petition \$130 \$130*	Extension - Fifth month (Small Entity)		
D	Petition		
	Processing returned checks	\$50	\$50*

For further fee information, please call (703-308-HELP)